# HIERARCHICAL TRANSFORMER FOR MULTI-LABEL TRAILER GENRE CLASSIFICATION

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# ABSTRACT

Determining the genres of a trailer is a challenging multi-label classification task. Previous studies tend to classify by CNN or RNN. Recently, Transformer based on attention mechanism has achieved better results in many research fields than CNN and RNN. Inspired by these, we propose a Hierarchical Transformer (HT). HT can process both the frame sequence (HT-F) and audio (HT-A) of trailers. Besides, a feature compression module is inserted into HT-F, and audio spectrogram segment is processed by HT-A as a whole, which can effectively reduce the data processed by the second Transformer. In order to reduce the training cost and improve the performance, we load the pre-trained weights from other related fields into some parameters of HT, and utilize the limited resources to train the remaining parameters. Experiments show that our best model outperforms state-of-the-art methods on several comprehensive metrics.

*Index Terms*— multi-label, trailer genre classification, selfattention, Hierarchical Transformer, transfer learning

# 1. INTRODUCTION

Today, movie is one of the most popular forms of entertainment for audiences. As a fundamental task, movie trailer genre classification can promote the development of movie retrieval and recommendation, further helping users quickly find the movies they are interested in.

Compared with general object classification, classifying movie trailers is more challenging. There are three reasons. Firstly, genre is an immaterial feature that cannot be directly pinpointed in any of the movie trailer [1]. Secondly, a movie may belong to multiple genres, which means that movie classification is a multilabel classification task [2]. Thirdly, the time length of a trailer is usually 1-3 minutes, a little long, and the timing information is so complicated that it is difficult to capture.

Many previous studies [3-7] are based on traditional machine learning. Most of them first compute low-level features, and then feed the features into some simple classifiers, such as decision tree and SVM. Due to lack high-level features and semantics, these methods usually do not achieve excellent classification performance.

With the development of deep learning, many works based on it [1, 2, 8-12] are tried. Among these works, Convolutional Neural Network (CNN) and Recurrent Neural Network (RNN) are basic architectures. In [8] and [9], CNN is used to extract high-level features from frames, and these features are fed into SVM to classify. Wehrmann and Barros [1, 10] propose the CTT module based on CNN to learn the temporal information of trailers. Alvarez et al. [11] classify trailers by combining low-level descriptors and highlevel features extracted by CNN. Yadav et al. [2] propose a sentiment analysis model based on CNN and RNN, which maps a trailer to 6 emotions and classifies according to the distribution of these emotions. Bi et al. [12] utilize pre-trained I3D model [13] to generate video-level features and fuse them by C3D-LSTM.

Recently, Transformer [14] based on attention mechanism is gradually applied to computer vision [15-18], and some achievements are obtained. Inspired by these researches, we design a hierarchical Transformer for trailer genre classification.

Our main contributions are summarized as follows: (i) Propose a convolution-free Hierarchical Transformer (HT) model to predict the genres of movie trailers, which can process data from two modalities including the frame sequence and audio of trailers. (ii) A feature compression module is inserted into HT-F, and audio spectrogram segment is processed by HT-A as a whole, so that the data to be processed by the second Transformer can be effectively reduced. (iii) Transfer pre-trained weights from other related tasks into HT for reducing the training cost and improving classification performance. (iv) Experiments conducted on the LMTD-9 dataset show that our best model outperforms state-of-the-art methods.

# 2. HIERARCHICAL TRANSFORMER (HT)

Hierarchical Transformer (HT) can pay attention to the more important information of a trailer and learn the temporal relationship to some extent. Specifically, HT consists of two Transformers,  $H_1$  and  $H_2$ .  $H_1$  is utilized to process each token of raw sequence including frame sequence and audio spectrogram segment sequence, for generating a feature sequence.  $H_2$  fuses the feature sequence, so as to predict the movie trailer genres. In HT, Vision Transformer Encoder and Transformer Encoder are the core modules, and position embeddings are added before the sequence is input into Transformer (including  $H_1$  and  $H_2$ ).

## 2.1. Encoder modules

Fig. 1 depicts the structure of Encoder modules including Transformer Encoder [14] and Vision Transformer Encoder [15]. In fact, Vision Transformer Encoder is a variant of Transformer Encoder, and they are formed by stacking L identical Encoder layers. Each layer of them contains multi-head self-attention (MSA), multi-layer perceptron (MLP), two residual connections [19] and two layer normalization (LN) operations [20]. However, there are some differences between them. Firstly, post-norm is adopted in Transformer Encoder Layer, whereas pre-norm in Vision Transformer Encoder Layer [21]. Secondly, MLP of Transformer Encoder Layer

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er utilizes ReLU activation function shown in Equation (1) and contains Dropout layers [22] with p = 0.1, while MLP of Vision Transformer Encoder Layer utilizes GELU activation function [23] and does not contain Dropout layers.

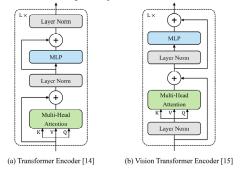


Fig. 1. Encoder modules.

The core component of whether a given layer is a Transformer Encoder layer or a Vision Transformer Encoder layer lies in the MSA proposed in [14]. Actually, MSA is formed of *k* SA operations depicted in Equation (2), where  $z \in \mathbb{R}^{n \times d}$  is an input sequence, and  $W_Q$ ,  $W_K$ ,  $W_V$  are three learnable  $d \times d_k$  matrices. *d* and *d*, represent the feature dimension, and  $k \times d_k = d$ .

$$a_k$$
 represent the reature dimension, and  $\kappa \times a_k = a$ .

ReLU(x) = max(0,x), sigmoid(x) = 
$$\frac{1}{1 + e^{-x}}$$
 (1)

$$SA(z) = softmax \left( \frac{\left( zW_{\varrho} \right) \cdot \left( zW_{k} \right)^{T}}{\sqrt{d_{k}}} \right) \cdot \left( zW_{\nu} \right)$$
(2)

# 2.2. HT for frame sequence (HT-F)

Based on the two Encoder modules in Section 2.1, we design a Hierarchical Transformer for processing the frame sequence (HT-F) from trailers as shown in Fig. 2. According to [21], pre-norm is more efficient for training than post-norm if the model goes deeper. We set the weights of H<sub>1</sub> by transfer learning, which let H<sub>1</sub> go deeper, so Vision Transformer Encoder is utilized to build H<sub>1</sub>. The weights of H<sub>2</sub> are obtained by training from scratch and train data is limited, which makes H<sub>2</sub> go shallow, so Transformer Encoder is utilized to build H<sub>2</sub>.

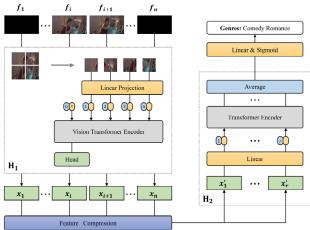


Fig. 2. Hierarchical Transformer for video frames (HT-F).

As the first Transformer,  $H_1$  is in charge of extracting the features of each frame. It first cuts a frame into multiple nonoverlapping small patches, and then flatten the patches for mapping to a 1D sequence of token embeddings. We prepend a learnable embedding to the sequence, and add standard learnable 1D position embedding to each token of the sequence. Next, the sequence is input to Vision Transformer Encoder for generating an output sequence, the first token of which is treated as frame representation.

In order to look natural and realistic, many adjacent frames in a video are usually extremely similar or even identical. Considering this, we sample a frame every 12 frames, so as to obtain a frame sequence  $F = [f_1, f_2, ..., f_n]$ . However,  $X = [x_1, x_2, ..., x_n]$ generated by H<sub>1</sub> contains many similar features because there are still many similar frames are also preserved in F. In order to combine these similar features and reduce data redundancy, we insert a feature compression module shown in Algorithm 1 between H<sub>1</sub> and H<sub>2</sub>. In this algorithm, a fixed threshold t and cosine similarity between those adjacent features are utilized to judge whether these features need to be combined by max pooling. Finally, a new feature sequence  $X' = [x'_1, x'_2, ..., x'_r]$  is generated, and the sequence length is reduced from n to r.

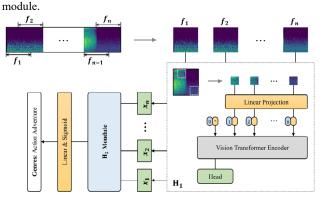
Algorithm 1: Features Compression						
<b>Input:</b> $X = [x_1, x_2,, x_n]$ , a fixed threshold t						
<b>Output:</b> $X' = [x'_1, x'_2,, x'_r]$ , where $r < n$						
$j = 1, x_1' = x_1$						
<b>for</b> $i = 2$ <b>to</b> $n$ :						
$s = (x_i^T \cdot x_j') / (  x_i   \cdot   x_j'  )$	# Calculate cosine similarity					
if $s > t$ :	# Compare with threshold					
$x'_j = \maxpool([x_i; x'_j])$	# Combine features					
else:						
j = j + 1	# Start next combination					
$x'_j = x_i$						

And then, X' is input into H<sub>2</sub>. To reduce computing cost, we add a linear layer at the beginning of H<sub>2</sub> to reduce the dimension of each token in X'. And then, its output is fed into Transformer Encoder after adding position embeddings, which generate a feature sequence of length r. A vector is the final output of H<sub>2</sub> by averaging the sequence. Next, the vector is fully connected to cneurons, which correspond to c genres. To get the predicted probability that a trailer belongs to each of these c genres, sigmoid function described in Equation (1) is utilized for activation. Since different trailers are not the same in duration, the length of the obtained feature sequence X' varies. Therefore, we utilize fixed position embeddings proposed in [14], which is shown in Equation (3), where  $P_{i,2j}$  and  $P_{i,2j+1}$  represent the elements of even and odd positions in the *i*-th position embedding, respectively.

$$P_{i,2j} = \sin\left(\frac{i}{10000^{2j/d}}\right), \ P_{i,2j+1} = \cos\left(\frac{i}{10000^{2j/d}}\right), \ i = 1, 2, ..., r$$
 (3)

### 2.3. HT for audio (HT-A)

Fig. 3 describes the Hierarchical Transformer for processing audio fbank features (HT-A). Similar to HT-F, it contains two Trans-



formers, H<sub>1</sub> and H<sub>2</sub>, but does not contain the feature compression

Fig. 3. Hierarchical Transformer for audio (HT-A).

Firstly, we convert a trailer audio into a sequence of 128dimensional log Mel filterbank (fbank) features computed with a 25ms Hamming window every 10ms, which results in  $100t \times 128$ spectrogram for subsequent processing, where *t* is the duration of audio. If each 10ms feature is input into H<sub>1</sub>, H<sub>2</sub> will need to process a sequence up to 100*t*, which is difficult to calculate for Transformer with a quadratic complexity. With this in mind, we allocate some work to H<sub>1</sub>, making it process 1024 consecutive 10ms instead of single 10ms. Therefore, we cut the spectrogram into multiple segments, and each segment corresponds to 10.24 seconds of the audio. Considering the coherence, we adopt the overlapping cutting, which makes the tail of the *i*-th segment *f<sub>i</sub>* overlaps with the head of the *i*+1-th segment *f<sub>i</sub>*+1. Finally, a spectrogram segment sequence  $\mathbf{F} = [f_1, f_2, ..., f_n]$  are obtained.

In H<sub>1</sub>, an overlapping method is utilized to cut the spectrogram segment, which means that there are overlapping parts between some patches. Since H<sub>2</sub> only focuses on processing the feature sequence and does not care about the raw information, its structure is exactly the same as it in HT-F. Finally, the output of H<sub>2</sub> is fully connected to c neurons and sigmoid function depicted in Equation (1) is utilized for activation, so as to get the predicted probability.

## 2.4. Combine HT-F and HT-A (HT-FA)

The frames and audio from a trailer are two different modalities, and there is usually information complementarity between different modalities [24]. Therefore, we combine HT-F and HT-A, creating a model taking both frames and audio into account, HT-FA. Similar to [10], We train HT-F and HT-A independently, and then feed the frame sequence and audio from the same trailer into them independently to get predicted probabilities  $P_f$  and  $P_a$ , as shown in Equation (4). Finally, we utilize a simple weighted average shown in Equation (5) to get the final predicted probability  $P_{fa}$  that is the output of HT-FA. We set the value of w to each of {0.00, 0.01, 0.02, ..., 0.99, 1.00} in turn, and analyze the results on validation dataset. According to the results, we choose 0.76, which means that 76% of the predicted probability in HT-FA is derived from HT-F, and 24% is derived from HT-A.

$$P_f = \operatorname{HT-F}(X_f), \quad P_a = \operatorname{HT-A}(X_a), \quad P_f \text{ and } P_a \in [0,1]^c \quad (4)$$

$$P_{fa} = w \cdot P_f + (1 - w) \cdot P_a, \quad w \in [0, 1]$$
(5)

#### **3. EXPERIMENTS**

### 3.1. Dataset and Evaluation Metrics

We do experiments on LMTD-9 dataset [18]. LMTD-9 is a publicly available movie trailer dataset containing 9 movie genres (action, adventure, comedy, crime, drama, horror, romance, sci-fi, and thriller) and over 4000 movie trailers.

Similar to previous works [1, 10, 11, 12], we use the area under a precision-recall curve (AU(PRC)) and Ranking Loss [25] to evaluate our models. Since trailer genre classification is a multilabel classification task, we need to average the AU(PRC)s from all genres. Based on different averaging methods, there are three different metrics, respectively AU(PRC)<sub>i</sub> (micro average), AU(PRC)<sub>a</sub> (macro average) and AU(PRC)<sub>w</sub> (weighted average).

#### 3.2. Baselines

Five competitive baseline algorithms including CTT-MMC-C [1], CTT-MMC-S [10], CTT-MMC-TN [10], DF [11] and VRFN2-64-10 [12] are utilized to compare with HT models. Among them, CTT-MMC-C, CTT-MMC-S, and CTT-MMC-TN are based on CTT module [1], DF combines low-level visual descriptors and high-level frame features, and VRFN2-64-10 is based on 3D CNN. In terms of classification performance, DF excels in some genres, while CTT-MMC-TN and VRFN2-64-10 achieve the best comprehensive metrics previously.

### 3.3. Implementation Details

We design H<sub>1</sub> of HT-F as the ViT-H/14 model in [15] with the pretrained weights on ImageNet-1K [26] and SWAG [27], and design H<sub>1</sub> of HT-A as the AST model (overlap size = 6) [28] with the pretrained weights on AudioSet [29]. In H<sub>2</sub>, we reduce the output features of H<sub>1</sub> to 160 dimensions by a linear transformation, and then feed them into a Transformer Encoder Module with 8 heads and 6 layers. According to previous experience, we set the hidden size of MLP to 4*d*.

$$\ell(y, \hat{y}) = -\frac{1}{m} \sum_{i=1}^{m} \sum_{j=1}^{c} \left[ y_{i,j} \log(\hat{y}_{i,j}) + (1 - y_{i,j}) \log(1 - \hat{y}_{i,j}) \right]$$
(6)

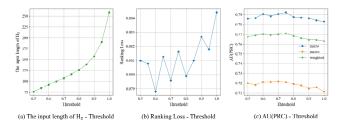
During the training phase, the parameters of H<sub>1</sub> are frozen, and H<sub>2</sub> are learned from scratch by optimizing the binary crossentropy loss function shown in Equation (6). For HT-F, we train 80 epochs with batch size of 8, learning rate of  $1 \times 10^{-3}$  and weight decay of  $1 \times 10^{-3}$ . For HT-A, we train 50 epochs with batch size of 16, learning rate of  $5 \times 10^{-3}$  and weight decay of  $1 \times 10^{-3}$ . Finally, we select the model with the maximum sum of three AU(PRC)s on the validation dataset among these results.

## 3.4. Results

Fig. 4 depicts the impact of different thresholds in the feature compression module on the feature compression ratio and metrics. According to it, HT-F with compression mechanism reduces the input length of H<sub>2</sub>, and makes the Ranking Loss lower and AU(PRC)s slight higher than without it (threshold = 1.0), which demonstrates that the compression module can reduce redundant features without compromising performance. After comprehensively considering, we choose the model with a threshold of 0.6 as our final HT-F model.

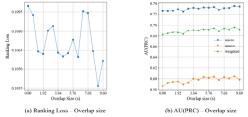
	CTT-MMC	CTT-MMC	CTT-MMC	DF	VRFN2-64	HT-A	HT-F	HT-FA
	-C [1]	-S [10]	-TN [10]	[11]	-10 [12]			
Action	0.813	0.669	0.835	0.852	0.79	0.764	0.834	0.849
Adventure	0.720	0.397	0.672	0.752	0.71	0.658	0.762	0.797
Comedy	0.853	0.834	0.870	0.871	0.89	<u>0.904</u>	0.892	0.915
Crime	0.505	0.339	0.547	0.628	0.52	0.442	0.651	0.642
Drama	0.791	0.764	0.841	0.641	0.83	0.816	<u>0.842</u>	0.853
Horror	0.609	0.390	0.667	0.424	0.68	0.728	<u>0.806</u>	0.826
Romance	0.432	0.362	0.456	0.468	0.46	0.377	<u>0.590</u>	0.594
SciFi	0.398	0.226	0.401	0.192	0.44	0.289	0.577	0.572
Thriller	0.496	0.386	0.522	0.520	0.49	0.447	<u>0.538</u>	0.560
Ranking Loss	0.108	0.183	0.099	-	-	0.106	<u>0.079</u>	0.070
AU(PRC)i	0.722	0.642	0.742	-	0.747	0.732	<u>0.790</u>	0.807
AU(PRC)a	0.624	0.485	0.646	0.594	0.645	0.603	0.721	0.734
AU(PRC) <sub>w</sub>	0.697	0.599	0.724	0.665	0.721	0.692	<u>0.770</u>	0.785

Table 1. Results comparing HT with five competitive baselines.



**Fig. 4.** Impact of different thresholds in the feature compression module of HT-F for (a) The input length of H<sub>2</sub>. (b) Ranking Loss. (c) three AU(PRC)s.

Fig. 5 depicts the impact of the overlap size when cutting the spectrogram on the performance of HT-A. It shows that overlapping cuts generally has lower Ranking Loss and higher AU(PRC) than non-overlapping cuts (Overlap Size = 0), which demonstrates that overlapping cutting can improve the performance of HT-A to some extent. After comprehensively considering, we choose the model with overlap size equal to 5.12 seconds as our final HT-A model.



**Fig. 5.** Impact of the Overlap Size of spectrogram for (a) Ranking Loss. (b) three AU(PRC)s.

Table 1 presents per-genre AU(PRC) and comprehensive results including three AU(PRC)s and Ranking Loss. Both CTT-MMC-S and HT-A are audio-based models, and HT-A outperforms CTT-MMC-S on all metrics. Compared with all baselines, HT-F also achieves an outstanding classification performance. HT-FA has the highest AU(PRC) on 6 genres, and the AU(PRC) of other genres including Action, Crime and SciFi is second only to the best. To be specific, the AU(PRC) values of Horror, Romance and SciFi are improved over 10% compared with baseline algorithms. Moreover, the improvement of Horror is nearly 15%. Comprehensively, HT-FA outperforms the best baseline by 0.029 reduction in Ranking Loss, 6.0% improvement in AU(PRC)<sub>*i*</sub>, 8.8% improvement in AU(PRC)<sub>*a*</sub> and 6.1% improvement in AU(PRC)<sub>*w*</sub>. Besides, HT-FA outperforms HT-F and HT-A on 4 evaluation metrics. In other words, HT-FA is the best among these 7 models.

Such improvements are the results of both our attention-based hierarchical Transformer structure and weights transfer from other tasks. Besides, our feature compression module and overlapping cutting for the spectrogram also play an important role.

## 4. CONCLUSION AND FUTURE WORK

In this paper, we propose a HT framework formed of two Transformers (H<sub>1</sub> and H<sub>2</sub>) for multi-label trailer genre classification, including HT-F, HT-A and HT-FA models. H<sub>1</sub> is utilized to process each token of raw sequence including frame sequence and audio spectrogram segment sequence, for generating a feature sequence. And H<sub>2</sub> fuses the feature sequence. We insert a feature compression module into HT-F for reducing redundant features, and obtain the weights of H<sub>1</sub> by transfer learning, which reduces the training cost and improve the performance. The experiments show that our best model (HT-FA) achieves improvements on several comprehensive metrics compared with state-of-the-art methods.

In the future, we will consider more other complex movie genres or try to apply the HT framework to other video classification tasks and audio classification tasks.

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